

**State of California  
Office of Administrative Law**

**In re:**  
**California School Finance Authority**

**Regulatory Action:**

**Title 04, California Code of Regulations**

**Adopt sections:**

**Amend sections:** 10170.2, 10170.3, 10170.4,  
10170.5, 10170.6, 10170.7,  
10170.9, 10170.10

**Repeal sections:**

**NOTICE OF APPROVAL OF EMERGENCY  
REGULATORY ACTION**

**Government Code Sections 11346.1 and  
11349.6**

**OAL Matter Number: 2018-0719-01**

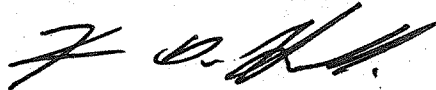
**OAL Matter Type: Emergency Readopt (EE)**

This emergency rulemaking by the California School Financing Authority readopts amendments made in prior matter No. 2018-0420-01E to regulations pertaining to the Charter School Facility Grant Program.

OAL approves this emergency regulatory action pursuant to sections 11346.1 and 11349.6 of the Government Code.

This emergency regulatory action is effective on 7/30/2018 and will expire on 10/30/2018. The Certificate of Compliance for this action is due no later than 10/29/2018.

**Date:** July 30, 2018



**Kevin D. Hull  
Senior Attorney**

**For: Debra M. Cornez  
Director**

**Original: Katrina Johantgen, Executive  
Director**

**Copy: Katrina Johantgen**

## NOTICE PUBLICATION/REGULATIONS SUBMISSION

STD. 400 (REV. 01-2013)

**EMERGENCY**

(See instructions on reverse)

For use by Secretary of State only

<b>OAL FILE NUMBERS</b>	NOTICE FILE NUMBER <b>Z-</b>	REGULATORY ACTION NUMBER	EMERGENCY NUMBER <b>2018-0719-01EE</b>
For use by Office of Administrative Law (OAL) only			
NOTICE		REGULATIONS	

**ENDORSED - FILED**  
In the office of the Secretary of State  
of the State of California**JUL 30 2018**

1:34 PM

2018 JUL 19 A 8:37

OFFICE OF  
ADMINISTRATIVE LAWAGENCY WITH RULEMAKING AUTHORITY  
California School Finance Authority

AGENCY FILE NUMBER (if any)

**A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)**

1. SUBJECT OF NOTICE		TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other		4. AGENCY CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
<b>OAL USE ONLY</b>	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn		NOTICE REGISTER NUMBER	PUBLICATION DATE

**B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)**

1a. SUBJECT OF REGULATION(S) California School Facility Grant Program	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) 2017-1023-02E, 2018-0420-01EE
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2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)
<b>SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)</b> TITLE(S) 4 ADOPT AMEND 10170.2, 10170.3, 10170.4, 10170.5, 10170.6, 10170.7, 10170.9, 10170.10 REPEAL

3. TYPE OF FILING			
<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input checked="" type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))	<input type="checkbox"/> Other (Specify) _____		

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)			
<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input checked="" type="checkbox"/> Effective on filing with Secretary of State	<input type="checkbox"/> \$100 Changes Without Regulatory Effect	<input type="checkbox"/> Effective other (Specify)

6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY			
<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal	
<input type="checkbox"/> Other (Specify) _____			

7. CONTACT PERSON Katrina Johantgen	TELEPHONE NUMBER (213) 620-2305	FAX NUMBER (Optional)	E-MAIL ADDRESS (Optional) katrina.johantgen@treasurer.ca.gov
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8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE 	DATE 7/19/18
TYPED NAME AND TITLE OF SIGNATORY Katrina Johantgen, Executive Director	

For use by Office of Administrative Law (OAL) only

**ENDORSED APPROVED****JUL 30 2018**

Office of Administrative Law

## TEXT OF REGULATIONS

### CALIFORNIA CODE OF REGULATIONS

#### Title 4, Division 15, Article 1.5

#### Charter School Facility Grant Program

##### Section 10170.2. Definitions.

For the purposes of this article, the following words and phrases shall have the meaning as described below:

- (a) "Applicant" shall mean the Charter School, educational management organization, or charter management organization applying on behalf of a Charter School for a grant under this article.
- (b) "Application" shall mean a completed Charter School Facility Grant Program Online Application (CSFA Form 740-01; revised December 2017), incorporated herein by reference, as developed by the Authority, and described in section 10170.6.
- (c) "Authority" shall mean the California School Finance Authority.
- (d) "Average Daily Attendance" (ADA) shall mean the unit of attendance, as reported by the Department for the second period of the school year.
- (e) "Average Daily Attendance Cap" (ADA Cap) shall mean for the 2017–18 fiscal year, an amount equal to one thousand one hundred seventeen dollars (\$1,117) per unit of ADA. Commencing with the 2018–19 fiscal year and moving forward, the amount of funding provided per unit of ADA in the preceding fiscal year, as adjusted by the Cost Of Living Adjustment Index or the amount specified in the current Budget Act.
- (f) "Chartering Authority" shall mean the school district, county board of education, or State Board of Education that granted a Charter School's petition to become a Charter School pursuant to Education Code section 47605.
- (g) "Charter School" shall mean a school established and operating pursuant to the Charter Schools Act of 1992 (Education Code section 47600, et seq.). Except where the defined term First Year Charter School is specifically used, Charter School shall also be meant to include schools that otherwise meet the definition of First Year Charter School.
- (h) "Cost Of Living Adjustment Index" (COLA Index) shall mean a percentage change in the annual average value of the Implicit Price Deflator for State and Local Government Purchases of Goods and Services for the United States, as published by the United States Department of Commerce for the 12-month period ending in the third quarter of the prior fiscal year. This percentage change shall be determined using the latest data available as of May 10 of the preceding fiscal year compared with the annual average value of the same deflator for the 12-month period ending in the third quarter of the second preceding fiscal year, using the latest data available as of May 10 of the preceding fiscal year, as reported by the Department of Finance.
- (i) "Department" shall mean the California Department of Education.
- (j) "Estimated Annual Entitlement" shall mean the estimated grant amount to which a Charter School is entitled as calculated pursuant to section 10170.7 prior to the first apportionment.
- (k) "Final Fiscal Year Entitlement" shall mean the final calculated grant amount to which a Grantee is entitled based on the calculation prescribed in section 10170.8.

- (e) The Charter School, educational management organization, or charter management organization is not in default with the requirement of all other programs administered by the Authority.
- (f) The charter school is in good standing with its chartering authority and is in compliance with the terms of its charter at the time of application submission, and without interruption throughout the term of the grant. The Authority will rely on information from the chartering authority regarding the school's good standing and compliance with the terms of its charter. Charter schools may appeal any response by the chartering authority's staff directly to the chartering authority's governing board. It shall be the charter school's responsibility, and not the Authority's, to ensure that the good standing and compliance response letter is received by the stated deadline.
  - (1) Requests for good standing will be sent out to the chartering authority as follows:
    - (A) Upon receipt of the Application;
    - (B) In February, prior to the disbursement of the second apportionment as described in section 10170.9(d); and
    - (C) In June, prior to the disbursement of the Final apportionment as described in Section 10170.9(e).

Note: Authority cited: Section 47614.5, Education Code.

Reference: Section 47614.5, Education Code.

#### **Section 10170.4. Eligible Costs.**

- (a) Grant funds may be applied toward a Charter School's facilities costs for all of the following:
  - (1) Costs associated with facility rents or leases as evidenced by an executed rental or lease agreement;
  - (2) Costs associated with the facility but not limited to, remodeling buildings, deferred maintenance, initially installing or extending service systems and other built-in equipment, improving sites, and common area maintenance charges that are based on the Charter School's usage of the facility are limited to maintaining and repairing the facility and its common areas.
  - (3) Costs described in subdivisions (a)(1) and (a)(2) and associated with portions of school district or county office of education facilities that are not existing school district or county office of education facilities and are not reasonably equivalent facilities received from their charter authorities.
  - (4) Costs associated with a ground lease as evidenced by an executed rental or lease agreement where there is no existing district facility on the ground being leased.
- (b) Grant funds may not be apportioned for any of the following:
  - (1) Units of ADA generated through non classroom-based instruction as defined in Education Code section 47612.5;
  - (2) Facility rent and lease costs associated with a Charter School's occupancy of existing district or county office of education facilities;
  - (3) Facility rent and lease costs associated with a Charter School's occupancy of reasonably equivalent facilities received from its chartering authority pursuant to Education Code section 47614

- (c) For any funding round, under the following circumstances, an Application may be submitted after the initial Application deadline, but in no case later than October 15 of the Fiscal Year for which the Application is submitted:
  - (1) A Charter School relocates from a facility that was ineligible for a grant award to a facility that is eligible and the Application includes a description of the change in facility circumstances; or
  - (2) A First Year Charter School.
- (d) The Authority's review and evaluation of an Application for purposes of calculating the Estimated Annual Entitlement shall be based on the information contained in and submitted with the Application, and supporting information obtained directly from other state and local agencies.
- (e) Organizations operating more than one Charter School, as identified by separate County District School (CDS) codes, must submit a separate Application for each Charter School with a separate CDS code for which a grant award is sought. Organizations operating more than one facility location under the same CDS code must combine all facilities operating under that CDS code in one Application.
- (f) The Authority may waive procedural defects in the submission of an Application, such as an Application filed past the deadline.

Note: Authority cited: Section 47614.5, Education Code.  
 Reference: Section 47614.5, Education Code.

#### **Section 10170.6. Content of Application.**

Completed Applications and all attachments shall be submitted to the Authority via the online application form (CSFA Form 740-01) and shall include all of the following items.

- (a) Application. The Application shall include identifying information, a completed Legal Status Questionnaire, and signed certification that the data and information reported is true and correct and the charter will continue to comply with state and federal laws.
- (b) Copy of current charter agreement and verification of Authorizing Board adoption and expiration date.
- (c) Copy of the rent or lease agreement contract, or other documentation, verifying the Charter School's facilities rent or lease costs for the Fiscal Year for which a grant award is requested, and evidence that the rent or lease term matches or exceeds the anticipated grant term. If the Charter School does not have an executed rent or lease agreement for the Fiscal Year, the Applicant shall produce an executed lease or rental agreement for the Prior Year or other documentation sufficient to show the Charter School's actual facilities rental or lease costs for the Prior Year. If the Charter School does not have a rent or lease agreement for the Fiscal Year or Prior Year, the Applicant shall provide such other evidence to the satisfaction of the Authority, such as a pending lease agreement, that establishes the Applicant's best estimate of such costs for the Fiscal Year.
- (d) An Applicant requesting reimbursement for Charter School costs associated with remodeling buildings, deferred maintenance, initially installing or extending service systems and other built-in equipment, improving sites and common area maintenance charges shall, submit no later than July 15 following the applicable Fiscal Year all of the following:

- (f) For Charter Schools that do not have Prior Year enrollment data, the Authority shall base the units of ADA on 90% of the school's enrollment as reported in the Charter School 20 Day Attendance Report pursuant to section 10170.6(e)(3).

Note: Authority cited: Section 47614.5, Education Code.

Reference: Section 47614.5, Education Code.

#### **Section 10170.9. Apportionment of Grant Funds.**

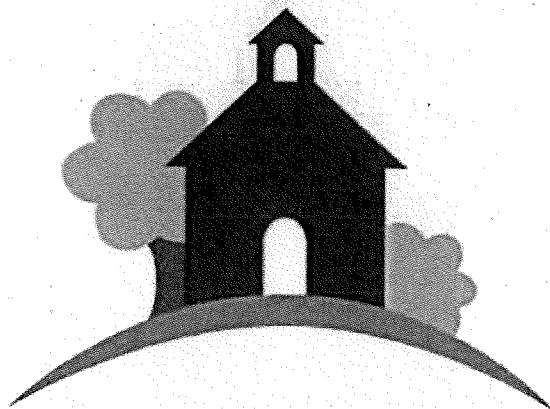
- (a) Prior to making any awards for Fiscal Year grants, the Authority shall first determine whether any Grantees that received awards in the Prior Year are entitled to reimbursement for unreimbursed eligible costs for that year. Such costs shall be the first funding priority prior to the Authority disbursing apportionment of current Fiscal Year grants to Grantees and shall be determined by the Authority based on the Final Fiscal Year Entitlement calculation made for each Grantee for the Prior Year. Reimbursements for Prior Year costs shall be made by the Authority to Prior Year Grantees within 60 days of the Authority providing notice.
- (b) The first apportionment of 50% of the Estimated Annual Entitlement, following disbursements required pursuant to subdivision (a), shall be disbursed to each Grantee by August 31 of the Fiscal Year for which the grant is requested, or 30 days after enactment of the annual Budget Act, whichever is later.
- (c) For a Grantee that submitted an Application pursuant to section 10170.5(b), the first apportionment of 50% of the Estimated Annual Entitlement shall be made within 30 days after the Authority determines eligibility and the Estimated Annual Entitlement.
- (d) No later than March 1 of each Fiscal Year, the Authority shall provide to each Grantee a second disbursement of 75% of the Estimated Annual Entitlement less the initial disbursement and less any adjustments due to receipt of the executed rent or lease agreement for the designated Fiscal Year.
- (e) No later than 30 days after the end of each Fiscal Year or 30 days after receiving the data and documentation needed to compute the Charter School's total annual entitlement, whichever is later, the Authority shall provide to each Grantee a third disbursement of 100 percent of the Final Fiscal Year Entitlement less the first two disbursements and adjusted for any changes to the FRPM Eligibility data, ADA, and executed rental or leases agreements for the designated Fiscal Year. If reimbursement of invoices considered eligible pursuant to section 10170.4(a)(2) is requested, these costs will be incorporated into this final disbursement.
- (f) If insufficient funds remain available from the Fiscal Year's appropriation, the following conditions shall be in effect:
  - (1) The Authority shall determine the pro rata share to which each Grantee is entitled by calculating the percentage of the Fiscal Year's appropriation as compared to the funds needed to fully award all Grantees. This percentage shall be applied to the Grantee's annual award and shall serve as the Grantee's pro rata share.
  - (2) The Authority shall disburse funds in three apportionments pursuant to subsections (b) - (e).
    - (A) The first apportionment shall be 50% of the pro rata share of the Estimated Annual Entitlement as determined by calculating the percentage of the Fiscal Year's appropriation as compared to the funds needed to fully award all Grantee's Maximum ADA Cap.

**Section 10170.10. Notification of Grantee; Appeal Process.**

- (a) The Authority will provide notice to each Applicant of Authority staff's eligibility determination and award calculation pursuant to sections 10170.7 and 10170.8.
- (b) An Applicant shall have 30 calendar days from receipt of the Authority's notice to request reconsideration of eligibility or the award calculation by Authority staff.
- (c) Authority staff shall have 30 calendar days to review an Applicant's request for reconsideration and provide a final staff decision.
- (d) If the Applicant is unsatisfied with Authority staff's final decision, the Applicant shall have 30 calendar days following receipt of notice of the decision to notify the Authority that the Applicant wishes to appeal the matter to the Authority board.
- (e) Upon receipt of an appeal notice from an Applicant, the matter will be considered by the Authority board at the next regularly scheduled Authority meeting.
- (f) Applicants may request a single extension of up to 30 days for either of the deadlines provided in 10170.10 (b) or (d). Including the 30 day extension, the entire Appeal Process under subsections (b)-(d) may not exceed 120 days. The extension request must be approved by Authority staff and can be granted only one time during an appeal process. Staff shall consider the request for extension based on a showing of good cause and evaluate on a case-by case basis. Extensions granted under these conditions are considered final and not subject to an additional appeal process.
- (g) If an appeal is not able to be resolved by the deadlines provided in Section 10170.10 (b), (d), and (f), the Authority shall deny the appeal based on a failure to comply with Program regulations. This decision is considered final and is not subject to an additional appeal.
- (h) If an eligibility determination or award calculation is modified by Authority staff or the Authority Board, changes in apportionments will be processed and distributed to the Applicant within 30 days.

Note: Authority cited: Section 47614.5, Education Code.

Reference: Section 47614.5, Education Code.



# **CALIFORNIA SCHOOL FINANCE AUTHORITY**

## **2015–2016**

### **Charter School Facility Grant Program Application**

**John Chiang, Chair**  
*California State Treasurer*

~~304 South Broadway, Suite 550~~  
300 S. Spring Street, Suite 8500  
Los Angeles, CA 90013  
Telephone: (213) 620-4467/4608  
Fax: (213) 620-6309

915 Capitol Mall, Room 101  
Sacramento, CA 95814  
Telephone: (916) 651-7710  
Fax: (916) 651-7709

[csfa@treasurer.ca.gov](mailto:csfa@treasurer.ca.gov)



# Charter School Facility Grant Program

## CHECKLIST

**Place a check mark (✓) in the space provided. If an item does not apply, please enter "n/a",** attach an explanation, and submit together with the Application. Include this application checklist with your application package.

A complete Application Package must contain one original with original executed signatures.

### Original

### Applicable Items

- ☐ Checklist CSFA Form 740-01 (rev. 3/11/15)
- ☐ Application CSFA Form 740-01 (rev. 3/11/15)
- ☐ Legal Status Questionnaire (rev. 3/11/15)
- ☐ Charter School Certification (rev. 3/11/15)

*The following items may be submitted via hard-copy, CD Rom, or flash drive. Please note schools reapplying do not need to submit: 1) current valid charter agreements/ authorizing board adoptions valid through the 2015-16 school year and on file with the Authority; and/ or 2) lease or rental agreements valid through the 2015-16 school year and on file with the Authority.*

On File  
with the  
Authority

New

- |                          |                          |   |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | Copy of current valid charter agreement   |
| <input type="checkbox"/> | <input type="checkbox"/> | Copy of approved Authorizer Board Adoption  |
| <input type="checkbox"/> | <input type="checkbox"/> | Copy of current lease contract, amendments or other documentation verifying required payments shall include charter name, charter address and evidence of agreement terms match or exceed the anticipated grant terms with supporting signature page. |
| <input type="checkbox"/> | <input type="checkbox"/> | List of Current Board Members, Board of Directors or Governing Board of Charter Schools   |

~~Completed applications must be received by 5:00 p.m. on October 15, 2015 to:~~

All inquiries and correspondence should be sent to:

**California School Finance Authority's Los Angeles or Sacramento Office:**

**300304 South Spring Street Broadway, Suite 85500, Los Angeles, CA 90013**

**915 Capitol Mall, Suite 101, Sacramento, CA 95814**

## Charter School Facility Grant Program APPLICATION

(Education Code Section 47614.5 and California Code of Regulations, Title 4, Sections 10170.1-10170.15)

The grant program is intended to provide assistance with facilities rent and lease costs for California charter school pupils. Grant funds may not be appropriated for any of the following: (1) units of average daily attendance generated through non classroom-based instruction; (2) charter schools occupying existing school district or county office of education facilities; or (3) charter schools receiving reasonably equivalent facilities from their chartering authorities pursuant to Section 47614.

Attach additional pages if necessary.

### Charter School Information

Official Name of Charter School:	Charter #:	County District-School Code:
Primary Contact Person:	Phone Number:	Email Address:

Mailing Address:	City:	State:	Zip:
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Current Charter term approved by Authorizing Board - Start :	End:
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Current Authorizing Board:
----------------------------

Facility 1 Street Address:	City:	State:	Zip:
Facility 1 Monthly Base Rent:	Facility 1 Lease Expiration Date:		

Facility 2 Street Address:	City:	State:	Zip:
Facility 2 Monthly Base Rent:	Facility 2 Lease Expiration Date:		

Facility 3 Street Address:	City:	State:	Zip:
Facility 3 Monthly Base Rent:	Facility 3 Lease Expiration Date:		

Does the charter school anticipate other facility-related expenditures in 2015-16 such as remodeling buildings, deferred maintenance, site improvements, or installation or extension of service systems or built-in equipment?
<input type="checkbox"/> Yes <input type="checkbox"/> No

***Please type or print all responses.  
Incomplete applications may not be considered.***

### Eligibility

*Charter schools must meet the free or reduced price meal (FRPM) eligibility requirement listed below.*

At least 55% of the charter school's 2015-2016-pupil enrollments in grades K-12 or ages 5-17 are eligible for FRPM. ☐ Yes ☐ No

~~The school intends to report 2015-16 FRPM eligibility data to CDE through CALPADS.~~ ☐ Yes ☐ No

~~The charter school site is physically located in the attendance area of a public elementary school in which 55% or more of the pupil enrollment is eligible for FRPM.~~ ☐ Yes ☐ No

~~The Charter also gives a preference in admissions to pupils who are currently enrolled in that public elementary and to pupils who reside in the school's attendance area.~~

The school has an admission policy pursuant to Section 10170.3 (d)(2) which gives preference in admissions to pupils who are currently enrolled in that public elementary and to pupils who reside in the school's attendance area. ☐ Yes ☐ No

During the upcoming funding round, will the school occupy a District/County Office of Education Facility? ☐ Yes ☐ No

Is any portion of the rent or lease payment attributable to the purchase of a facility?  
*If yes, detail the amount attributable to the purchase. Lease payments attributable to the purchase of a facility are not allowable costs under the program:*

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### **Related Parties**

1. Identify the owner of the property covered by the lease(s) for which you are seeking a grant.  
\_\_\_\_\_
2. Is the property owner/lessor related in any way to the charter school, or an employee or officer of the charter school or a member of the charter school's Governing Board? If so, how are the parties related?  
\_\_\_\_\_
3. If the property owner is related in any way to the charter school, or an employee or officer to the charter school or a member of the charter school's Governing Board, was the property owner formed solely for the purpose of managing or supporting the charter school or a group of charter schools?  
\_\_\_\_\_
4. If the answer to **Question #3** is **Yes**, please provide documentary evidence that supports the sole purpose of the property owner (for example, by –laws or other organizational documents describing the organization's purpose)  
\_\_\_\_\_
5. If the answer to **Question #3** is **No**, please answer the following:
  - a. Provide the names and roles of the individuals who are related to both the charter school and the property owner.  
\_\_\_\_\_
  - b. Provide evidence that the related party or parties recused themselves from the charter school's decision to enter into the lease and apply for the grant.  
\_\_\_\_\_
  - c. Provide evidence that the related party's interest in the property was disclosed to the charter school's governing board.  
\_\_\_\_\_
  - d. Provide evidence that the lease payment is at or below market rate or that, the Governing Board, in approving the lease, made a finding that it was reasonable under the circumstances.  
\_\_\_\_\_

## Charter School Facility Grant Program LEGAL STATUS QUESTIONNAIRE

1. Disclose material information relating to any legal or regulatory proceeding or investigation in which the applicant/borrower/project sponsor is or has been a party and which might have a material impact on the financial viability of the project or the applicant/borrower/project sponsor. Such disclosures should include any parent, subsidiary, or affiliate of the applicant/borrower/project sponsor that is involved in the management, operation, or development of the project.

Response: \_\_\_\_\_

2. Disclose any civil, criminal, or regulatory action in which the applicant/borrower/project sponsor, or any current board members (not including volunteer board members of non-profit entities), partners, limited liability corporation members, senior officers, or senior management personnel has been named a defendant in such action in the past ten years involving fraud or corruption, or matters involving health and safety where there are allegations of serious harm to employees, the public, or the environment.

Response: \_\_\_\_\_

*Disclosures should include civil or criminal cases filed in state or federal court; civil or criminal investigations by local, state, or federal law enforcement authorities; and enforcement proceedings or investigations by local, state or federal regulatory agencies. The information provided must include relevant dates, the nature of the allegation(s), charters, complaint or filing, and the outcome.*

**Signatures on behalf of (school name):** \_\_\_\_\_

I/We attest that we have provided full disclosure *as indicated* in response to the items 1 and 2 above.

\_\_\_\_\_  
Signature of Principal, CEO, or Lead Administrator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print or Type Name:

\_\_\_\_\_  
Signature of President or Chair of Governing Board

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print or Type Name

**Charter School Facility Grant Program  
CHARTER SCHOOL CERTIFICATION**

I/We hereby certify that to the best of my knowledge and belief, this data is true and correct and that all data reported on this application have been compiled and reported in accordance with state and federal laws, regulations, general legal assurances, and instructions to prepare this report form. I/We further certify that the Charter School will comply with all program requirements as outlined in Education Code section 46714.5 and Article 1.5 of Division 15 of Title 4 of the California Code of Regulations (commencing with section 10170.1). I/We attest we have provided full disclosure and understand misrepresentation can cause ineligibility to participate in the Charter School Facility Grant Program.

\_\_\_\_\_  
Signature of Principal, CEO, or Lead Administrator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print or Type Name

\_\_\_\_\_  
Signature of President or Chair of Governing Board

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print or Type Name

~~Completed applications must be received by 5:00 p.m. on October 15, 2015 to:~~

~~California School Finance Authority's Los Angeles or Sacramento Office:~~

~~304 South Broadway, Suite 550, Los Angeles, CA 90013~~

~~915 Capitol Mall, Suite 101, Sacramento, CA 95814~~

## Charter School Facility Grant Program

### Facility Invoice Expenditure Report

To request final reimbursement for additional fiscal year **2017-18** facility expenditures  
(Education Code Section 47614.5)

#### SECTION 1. CHARTER SCHOOL INFORMATION:

Charter School Name: \_\_\_\_\_  
County-District-School (CDS) Code: \_\_\_\_\_  
Charter School Number: \_\_\_\_\_  
Contact Person Name/Title: \_\_\_\_\_ -  
Phone Number: \_\_\_\_\_ -  
E-mail Address: \_\_\_\_\_ 0

#### SECTION 2. 2017-18 TOTAL EXPENDITURES CLAIMED FOR REIMBURSEMENT

I hereby certify that to the best of my knowledge and belief, categories A through E below, report the actual amount of expenditures incurred during fiscal year 2017-18 and are not representative of facility's lease rent costs. Each expenditure in the report is accompanied by an evidence of expenditure and payment including but not limited to, copies of invoices, canceled checks, bank statements. I understand the information provided on this report is subject to audit. Pursuant to Program Regulations Section 10170.14 (c), I certify there are no Related Parties between the school and the vendors for which we are requesting reimbursement.

	<u>Expenditure Amount</u>
A. Remodeling	\$ -
B. Deferred Maintenance	\$ -
C. Installation	\$ -
D. Materials	\$ -
E. Maintaining/Repairing Common Areas	\$ -
<b>Total:</b>	<b>\$ -</b>

\_\_\_\_\_  
Signature of Principal, CEO, or Lead Administrator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print or Type Name

## Charter School Facility Grant Program (SB740 Program)

### Notice of Eligible Facility Costs

(Education Code Section 47614.5 and California Code of Regulations, Title 4, Sections 10170.1-10170.15)

Review the below table. If the school has no corrections sign and return to the Authority,  
[SB740@treasurer.ca.gov](mailto:SB740@treasurer.ca.gov).

Pursuant to SB740 Program Regulations Section 10170.10(b) the applicant may appeal staff's determination,  
by submitting a letter of appeal within 30 calendar days of this notice to [SB740@treasurer.ca.gov](mailto:SB740@treasurer.ca.gov).

School:	
CDS Code:	
Sites Seeking Reimbursement:	
Mailing Address	
<b>2017-18 Eligible Facility Costs</b>	
SB740 2017-18 Facility's Lease Costs	
Other Facility Costs	
<b>Subtotal</b>	

I/We hereby certify that to the best of my knowledge and belief, the eligible facility costs captured in the above table are true and correct.

\_\_\_\_\_  
Signature of Principal, CEO or Lead Administrator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print or Type Name